



POLO QUEEN INDUSTRIAL AND FINTECH LIMITED

Regd. Off : 303/4/ 5, A to Z Industrial Premises, G. K. Marg, Lower Parel (W),
Mumbai - 400 013 (INDIA)
CIN NO. L72200MH1984PLC094539

April 20, 2024

To,

The Manger-Listing Department
BSE Limited
Phiroze Jeejeebhoy Towers, Dalal
Street, Mumbai – 400001
Scrip Code: 540717

The Manger-Listing Department
Metropolitan Stock Exchange of India Limited (MSEI)
Vibgyor Towers, 4" Floor, Plot No. C-62, G-Block
Bandra-Kurla Complex, Bandra (West), Mumbai- 400098
Symbol: PQIF

Dear Sir/Madam,

Subject: Certificate under Regulation 40(9) & (10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“SEBI Listing Regulations”).

Pursuant to Regulation 40 (10) of the SEBI Listing Regulations, please find enclosed the certificate issued by M/s. Dipti Rathi & Associates, Practising Company Secretary, for the financial year ended 31st March, 2024.

Kindly take the same on record.

Thanking You,

Yours Sincerely

For Polo Queen Industrial and Fintech Limited

Udit Pritam Sanghai

Whole Time Director & Chief Financial Officer

DIN: 06725206



CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS & DISCLOSURE REQUIREMENTS), REGULATIONS 2015

FOR THE YEAR ENDED 31ST MARCH, 2024

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Polo Queen Industrial And Fintech Limited** maintained by **Satellite Corporate Services Private Limited**, pertaining to transfer of equity shares of the company for the period from 1st April, 2023 to 31st March, 2024 for the purpose of issuing a Certificate as per regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements), Regulations 2015 entered into by, **Polo Queen Industrial And Fintech Limited** with BSE Limited and Metropolitan Stock Exchange of India Limited (MSE) and based on the information provided by the Company, through its Registrars and Share Transfer Agents **Satellite Corporate Services Private Limited**, Office No. A/106 & 107, Dattani Plaza, East West Compound, Andheri Kurla Road, Sakinaka, Mumbai - 400072.

I hereby certify that during the year ended on 31st March, 2024:

- A) The Company has not received any share certificates relating to transfer of shares during the period from 1st April, 2023 to 31st March, 2024.
- B) The Company has not received any requests for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period from 1st April, 2023 to 31st March, 2024.

Date: 19th April, 2024
Place: Mumbai

For Dipti Nagori & Associates
Practising Company Secretaries

DIPTI ARPIT Digitally signed by
NAGORI DIPTI ARPIT NAGORI
Date: 2024.04.19
11:37:18 +05'30'

Dipti Nagori
Company Secretary
C.P. No 9917

UDIN: F008603F000182315
Peer Review Certificate No: 1902/2022